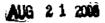


UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 4 ATLANTA FEDERAL CENTER 61 FORSYTH STREET ATLANTA, GEORGIA 30303-8960



4APT-AEEB

VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED

Mr. Floyd Roberts, President Florida Protective Coatings 2077 Sunnydale Blvd. Clearwater, Florida 33765

RE: Consent Agreement and Final Order Docket No. CAA-04-2008-1513(b)

Dear Mr. Roberts:

Enclosed is a copy of the ratified Consent Agreement and Final Order (CAFO) in this matter. The original CAFO has been filed with the Regional Hearing Clerk as directed in Section 22.05(a) of the Consolidated Rules of Practice, as amended. Please refer to Section IV., Final Order for the terms and instructions regarding your final payment on the penalty due.

Also, enclosed is a copy of the Notice of Securities and Exchange Commission Registrants Duty to Disclose Environmental Legal Proceedings. This document puts you on notice of your potential duty to disclose to the Securities and Exchange Commission (SEC) any environmental enforcement actions taken by the EPA. If you have any questions with regards to the SEC's environmental disclosure requirements, you may refer to the contact phone number at the bottom of the Notice.

Should you have any questions, please do not hesitate to contact Mr. Floyd Ledbetter at (404) 562-9218.

Sincerely,

Fener 1 y A. Sugg Beverly A. Spagg, Chief

Air & EPCRA Enforcement Branch Air, Pesticides and Toxics Management Division

Enclosure

internet Address (URL) • http://www.epa.gov Recycled/Recyclable • Printed with Vegetable Oil Based inks on Recycled Paper (Minimum 30% Postconsumer)

#### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 4

)

)

)

)

In the Matter of: Florida Protective Coatings 2077 Sunnydale Blvd. Clearwater, Florida 33765

Respondent.

# Docket No. CAA-04-2008-1513(00) 21 PH 12: 4

#### **CONSENT AGREEMENT AND FINAL ORDER**

I. Nature of the Action and Jurisdictional Statements

1. This is an administrative action for the assessment of civil penalties pursuant to Section 113(d) of the Clean Air Act (CAA), 42 U.S.C. § 7413(d), and the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (Consolidated Rules), published in 40 C.F.R. Part 22. Complainant is the Director of the Air, Pesticides and Toxics Management Division, United States Environmental Protection Agency (EPA), Region 4. Respondent is Florida Protective Coatings.

2. Complainant and Respondent have conferred for the purpose of settlement pursuant to 40 C.F.R. § 22.18(b) and desire to resolve this matter and settle the allegations described herein without a formal hearing. Therefore, without the taking of any evidence or testimony, the making of any argument, or the adjudication of any issue in this matter, and in accordance with 40 C.F.R. § 22.13(b), this Consent Agreement and Final Order (CAFO) will simultaneously commence and conclude this matter.

3. The authority to take action under Section 113(d) of the CAA, 42 U.S.C. § 7413(d), is vested in the Administrator of EPA. The Administrator of EPA has delegated this authority under the CAA to the Regional Administrators by EPA Delegation 7-6-A dated March 25, 1992, last updated on August 4, 1994. The Regional Administrator, Region 4, has redelegated this authority to the Director, Air, Pesticides, and Toxics Management Division, by EPA Region 4 Delegation 7-6-A, dated November 15, 1993, last updated on February 12, 2004. Pursuant to that delegation, the Director of the Air, Pesticides and Toxics Management Division has the authority to commence an enforcement action as the Complainant in this matter.

4. Consistent with Section 113(d) of the CAA, 42 U.S.C. § 7413(d), the requisite joint determination was made by EPA and the United States Department of Justice.

5. Respondent is a corporation a part of whose business is the manufacture and/or distribution of architectural coatings and is therefore subject to the requirements of 40 C.F.R. Part 59, Subpart D.

6. Respondent is a "person" as defined in Section 302(e) of the CAA, 42 U.S.C. § 7602(e).

7. Respondent is a "manufacturer" and/or "importer" of architectural coating as defined at 40 C.F.R. § 59.401.

8. Respondent's corporate headquarters is located at: 2077 Sunnydale Blvd. Clearwater, Florida 33765.

#### II. Legal Requirements - Overview of Applicable Portions of the Clean Air Act

9. The federal regulations describe reporting requirements for manufacturers and/or importers of architectural coatings. The reporting requirements include a mandate that each manufacturer and importer of any architectural coating shall submit an initial notification report no later than September 13, 1999, or within 180 days after the date that the first architectural coating is manufactured or imported, whichever is later. See 40 C.F.R. §§ 59.400, 59.408 (b).

10. Pursuant to Section 113(d) of the CAA, 42 U.S.C. § 7413 (d), and 40 C.F.R. Part 19, EPA may assess a penalty of not more than \$27,500 for each violation that occurred on or after January 31, 1997, and not more than \$32,500 for each violation that occurred after March 15, 2004.

#### III. Factual Allegations

11. Upon information and belief, Florida Protective Coatings, failed to comply with the initial notification requirements from September 1999 (the point at which EPA regulations first required notification) until July 18, 2007. Therefore, Florida Protective Coatings, violated the initial notification requirements of the federal regulations at 40 C.F.R. § 59.408.

12. On July 18, 2007, in response to an information request sent by EPA pursuant to Section 114 of the CAA, 42 U.S.C. § 7414, Florida Protective Coatings, provided EPA with the initial notification..

#### IV. Consent Agreement

13. For the purposes of this CAFO, Respondent admits the jurisdictional allegations set out in paragraphs 1 through 10 above, but Respondent neither admits nor denies the factual allegations set out above.

14. As provided in 40 C.F.R. § 22.18(b)(2), Respondent waives any right to contest the allegations listed above and its right to appeal the proposed final order accompanying this consent agreement.

15. Respondent consents to the assessment of and agrees to pay the civil penalties as set forth in this CAFO.

2

16. Respondent certifies that as of the date of its execution of this CAFO, it is in compliance with all relevant requirements of the CAA.

17. Compliance with this CAFO shall resolve the allegations of violations contained herein and known to the EPA at this time, and EPA hereby releases Respondent from all liability therefor. EPA does not know of any violations not herein addressed and resolved. This CAFO shall not otherwise affect any liability of Respondent, if any, to the United States. Other than as expressed herein, neither EPA nor Complainant waives any right to bring an enforcement action against Respondent for violation of any federal or state statute, regulation or permit, to initiate an action for imminent and substantial endangerment, or to pursue criminal enforcement for allegations of violations not contained in this CAFO.

18. Complainant and Respondent agree to settle this matter by their execution of this CAFO. The parties agree that the settlement of this matter is in the public interest and that this CAFO is consistent with the applicable requirements of the CAA. Return of signed agreement by respondent should be sent in its entirety to Floyd Ledbetter who is authorized to receive service relating to this proceeding on behalf of Complainant:

Floyd Ledbetter Air and EPCRA Enforcement Branch - 12<sup>th</sup> floor U.S. EPA - Region 4 61 Forsyth Street, Atlanta, Georgia 30303

#### V. Final Order

19. Respondent agrees to correct all labels to conform to the regulations.

20. Respondent shall pay a civil penalty of \$200.00 which is to be paid within thirty (30) days of the effective date of this CAFO.

21. Respondent shall pay the penalty by forwarding a cashier's or certified check, payable to: "Treasurer, United States of America," to the following address:

US Environmental Protection Agency Fines and Penalties Cincinnati Finance Center PO Box 979077 St. Louis, MO 63197-9000

## The check shall reference on its face the name and the Docket Number of the CAFO.

22. At the time of payment, Respondent shall send a separate copy of the check, and a written statement that payment has been made in accordance with this CAFO, to the following persons at the following addresses:

Regional Hearing Clerk U.S. EPA - Region 4 61 Forsyth Street Atlanta, Georgia 30303

Floyd Ledbetter Air Enforcement - 12<sup>th</sup> floor U.S. EPA - Region 4 61 Forsyth Street Atlanta, Georgia 30303

Saundi Wilson U.S. EPA - Region 4 61 Forsyth Street Atlanta, Georgia 30303

23. For the purposes of state and federal income taxation, Respondent shall not be entitled, and agrees not to attempt, to claim a deduction for the civil penalty payment made pursuant to paragraph 19 of this CAFO.

24. Pursuant to 31 U.S.C. § 3717, EPA is entitled to assess interest and penalties on debts owed to the United States and a charge to cover the cost of processing and handling a delinquent claim. Interest will therefore begin to accrue on the civil penalty from the date of entry of this CAFO, if the penalty is not paid by the date required. Interest will be assessed at the rate of the United States Treasury tax and loan rate in accordance with 31 U.S.C. § 3717. A charge will be assessed to cover the costs of debt collection, including processing and handling costs and attorney fees. In addition, a penalty charge of 6 percent per year compounded annually will be assessed on any portion of the debt that remains delinquent more than ninety (90) days after payment is due.

25. Complainant and Respondent shall bear their own costs and attorney fees in this matter.

26. This CAFO shall be binding upon the Respondent, its successors and assigns.

27. Each undersigned representative of the parties to this CAFO certifies that he/she is fully authorized by the party represented to enter into this CAFO and legally bind that party to it.

4

#### VI. Effective Date

28. The effective date of this CAFO shall be the date on which the CAFO is filed with the Regional Hearing Clerk.

#### **AGREED AND CONSENTED TO:**

FOR COMPLAINANT:

FOR RESPONDENT:

Beverly H. Banister, Director

Air Pesticides and Toxics Management Division U.S. Environmental Protection Agency, Region 4

Floyd E Roberts m CEC Print Name & Title

Florida Protective Coatings

TEN-

Date: 1/23/08

Date: 7/30/08

APPROVED AND SO ORDERED this 2 1 day of <u>August</u>, 2008.

usa S. Schub

Susan B. Schub **Regional Judicial Officer** EPA, Region 4

\_ . .

#### CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true and correct copy of the foregoing Consent Agreement and Final Order, In the Matter of Florida Protective Coatings. Docket No. CAA-04-2008-1513(b), on the parties listed below in the manner indicated:

Mr. Floyd Ledbetter U.S. EPA, Region 4 Air, Pesticides, and Toxics Management Division 61 Forsyth St., SW Atlanta, GA 30303

Ms. Vera S. Kornylak U.S. EPA, Region 4 Office of Environmental Accountability 61 Forsyth St., SW Atlanta, GA 30303 (Via EPA=s internal mail)

(Via EPA=s internal mail)

Mr. Floyd Roberts President Florida Protective Coatings 2077 Sunnydale Blvd. Clearwater, Florida 33765

1-21-( Date:

Patricia A. Bullock Regional Hearing Clerk United States Environmental Protection Agency, Region 4 Atlanta Federal Center 61 Forsyth Street, S.W. Atlanta, GA 30303 (404) 562-9511 (Via Certified Mail - Return Receipt)

### EPA ACCOUNTS RECEIVABLE CONTROL NUMBER FORM

.

~

- ..

<u>TO BE</u> (Att	COMPLETED BY THE ORIGINATIA ach a copy of the final order and transmitta	NG OFFI( l letter to D	<u>]E</u> : efendant/Respondent)	
This (or	m was originated by:		on 7 20 18	
		(	Name)	(Date)
in the	Region 4, ORC, OEA			at (404) 562-9504
	(Off	ice)		(Telephone Number)
	Non-SF Judicial Order/Consent Decree USAO COLLECTS			Order/Consent Agreement CTS PAYMENT
	SF Judicial Order/Consent Decree DOJ COLLECTS		Oversight Billin Sent with bill	ng - Cost Package required:
			Not sent with b	11
	Other Receivable		Oversight Billin	ng - Cost Package not required
	This is an original debt		This is a modifi	cation
	MID		AL	
PAYEE		fective	(MAT) AGO Municipality making the pa	
	e Specific Superfund Account Number: signated Regional/Headquarters Program O	ffice:		
		<b></b>	;	
The IFN	MS Accounts Receivable Control Number is:			Date
	ave any questions, please call:	of th	e Financial Management Sec	tion at:
А. <u>ЛЛД</u>	<u>BUTION</u> : <u>ICIAL ORDERS</u> : Copies of this form with an att ald be mulled to:	ached copy (	of the front page of the <u>FINAL</u>	UDICIAL ORDER
1.	Debt Tracking Officer Environmental Enforcement Section Department of Justice RM 1647 P.O. Box 7611, Benjamin Franklin Station Washington, D.C. 20044	2. 3.	Originating Office (EAD) Designated Program Office	
B. <u>AD</u>	MINISTRATIVE ORDERS: Copies of this form	with an attac	hed copy of the front page of th	e Administrative Order should be to
1. 2	Originating Office Regional Hearing Clerk	3. 4.	Designated Program Office Regional Counsel (EAD)	

\_\_. .<u>\_\_</u>. .